

**SPPT to RAPID Crosswalk - Old SPPF Fields to RAPID Fields  
- Cooperative Research and Development Agreement -**

**Field No. SPPF Field**

**RAPID Proposal Panel and Field**

**1. General Information**

1	Division	Proposal Project Panel - Department (use Level 1, two digit DeptID)
2	Program/Group	Proposal Project Panel - Subdivision (use Level 4, four digit organization)
3	Administrative Contact	Proposal Project Panel - Dept Contact (Choose from dropdown list for your division)
4	Type of Proposal	Proposal Header - Type
5	Current Project Number	No Longer Used
6	Deadline	Proposal Header - Due Date; Type, Time and Zone
7	Project Title	Proposal Header - Short Title (and Long Title if needed)
8	Acct. Number for FedEx	Proposal Submission/Submission Panel - Mailing Instructions
9	PI Name	Proposal Header - PI (automatically filled when the emplID is entered)
10	Employee Number	Proposal Header - PI (use emplID to identify PI)
11	Percent Effort	Proposal Header - Additional Information - Percent Effort
12	Phone and Fax	RAPID is integrated with LBNL People View and this information is in Professional Table
13	E-mail	RAPID is integrated with LBNL People View and this information is in Professional Table
14	Mailstop	RAPID is integrated with LBNL People View and this information is in Professional Table
15	Co-PI	Proposal Resources - Proposal Professionals
16	Employee No.	Proposal Resources - Proposal Professional (use emplID to identify Co-PI)

17	Percent Effort	Proposal Header - Additional Information - Percent Effort
18	Phone and Fax	RAPID is integrated with LBNL People View and this information is in Professional Table
19	E-mail	RAPID is integrated with LBNL People View and this information is in Professional Table
20	Mailstop	RAPID is integrated with LBNL People View and this information is in Professional Table
<b>II. CRADA PARTICIPANTS</b>		
21	Participant Name	Proposal Header - Sponsor (use the Sponsor Code from the dropdown box to populate Sponsor)
22	Send Proposal to	Proposal Submission/Submission Panel - Mailing Instructions
23	Phone and Fax	Proposal Submission/Submission Panel - Mailing Instructions
24	E-mail	Proposal Submission/Submission Panel - Mailing Instructions
25	Address	Proposal Submission/Submission Panel - Mailing Instructions
26	Participant Administrative Contact: Name	Proposal Submission/Submission Panel - Mailing Instructions
27	Phone and Fax	Proposal Submission/Submission Panel - Mailing Instructions
28	E-mail	Proposal Submission/Submission Panel - Mailing Instructions
29	Address	Proposal Submission/Submission Panel - Mailing Instructions
<b>III. FAIRNESS OF OPPORTUNITY</b>		
30	Fairness of Opportunity Narrative	Proposal Reports - Fairness of Opportunity
<b>IV. Budget, Effort, and First 4 months of Estimated Costs</b>		
31	Summary Budget	Proposal Budget Header, Budget Period and Budget Line Summary

32	Estimated Advance for Non-Federal Sponsored Projects	Proposal Header - Additional Information -Provide the Estimate in the Comments Sections
<b>V. NEPA/CEQA and EH&amp;S Review</b>		
33	NEPA/CEQA Form	Proposal Review - NEPA Review Factors

SPO use only:

Proposal No.

BG-

Sponsor Code:

B&amp;R Code:

## LBNL Sponsored Project Proposal Form

## Cooperative Research and Development Agreement (CRADA)

## I. GENERAL INFORMATION

DIVISION: 1 PROGRAM/GROUP: 2ADMINISTRATIVE CONTACT: 3

Name, extension, fax, and e-mail address

TYPE OF PROPOSAL: 4 CURRENT ACCOUNT NUMBER (if any): 5 - N/A

N-New, R-Renewal, C-Continuation, B-Resubmission, S-Supplement, V-Revision

DEADLINE (explain if rush): 6PROJECT TITLE: Title 7PI NAME: 9 CO-PI NAME: 15Employee No.: 10 Employee No.: 16Percent Effort\*: 11 Percent Effort\*: 17Phone & Fax: 12 Phone & Fax: 18E-mail: 13 E-mail: 19Mailstop: 14 Mailstop: 20

\*Average annualized percent effort that the PI or Co-PI will devote to this project

## II. CRADA PARTICIPANTS

If more than one Participant, attach additional sheets with required information

PARTICIPANT: 21 PARTICIPANT ADMINISTRATIVE CONTACT:Send Proposal to: 22 Name: 26Phone & Fax: 23 Phone & Fax: 27E-mail: 24 E-mail: 28Address: 25 Address: 29

## III. FAIRNESS OF OPPORTUNITY

If Participant initiated contact with LBNL, state so. Otherwise, describe how the Laboratory and the Participant joined efforts for the proposed CRADA, including how the Participant became aware of the Laboratory's capabilities and willingness to participate. Recite related Fairness of Opportunity activities. Attach additional sheet if necessary:

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## IV. FIRST FOUR MONTHS OF ESTIMATED COSTS

For Sponsor Funds-in CRADAs: Provide the maximum potential cost to be incurred during the first four months of the project. \$ 31/32

**LBLN Sponsored Project Proposal Form – CRADA****IV. NEPA/CEQA and EH&S REVIEW**

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Proposal #: \_\_\_\_\_

Project Title: Title

1. Identify LBNL, UCB, DOE facility and any other off-site locations where work will be performed:

Yes	No	Uncertain	If "yes" or "uncertain," please explain on separate page(s).
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<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	2. For LBNL locations: Does the project involve room or building modifications?
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<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	3. Would the project disturb hazardous substances or contaminants that preexist in the environment, such that there would be a release not covered by agency permits?
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<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	4. For work at non-DOE locations only: Would the project disturb any of the following environmentally sensitive resources?
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\* Property (e.g., sites, buildings structures, objects) of historic, archeological significance designated by Federal, state or local governments or property eligible for listing on the National Register of Historic places;

\* Federally-listed threatened or endangered species or their habitat, Federally-proposed or candidate endangered species or their habitat; or state-listed endangered or threatened species or their habitat;

\* Wetlands regulated under the Clean Water Act and floodplains;

\* Federally and state-designated wilderness areas, national parks, national natural landmarks, wild and scenic rivers, state and Federal wildlife refuges, and marine sanctuaries;

\* Prime agricultural lands;

\* Special sources of water, such as sole-source aquifers, wellhead protection areas, or other water sources vital to the region;

\* Tundra, coral reefs, or rain forests.

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	5. Would the project result in hazardous emissions, wastes, or effluents outside permit limits?
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<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	6. Is new or additional safety documentation needed for the work?
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\_\_\_\_\_  
Division\_\_\_\_\_  
Principal Investigator\_\_\_\_\_  
Date

To be completed prior to beginning work:

Proposed classification:

\_\_\_\_\_  
LBNL NEPA/CEQA Program\_\_\_\_\_  
Date\_\_\_\_\_  
DOE NCO\_\_\_\_\_  
Date

Submit original form w/copy of proposal to NEPA/CEQA Prog. Manager; submit unsigned copy to SPO  
with proposal package LBNL SPO (9/01)

**LBLN Sponsored Project Proposal Form I CRADA****V. DIVISION APPROVALS**

The Division Director is responsible for evaluating and approving this proposal prior to submission to SPO.  
The basic consideration given a proposal in the review should include:

- ☐ Determining project appropriateness to LBNL and DOE
- ☐ Evaluating the technical merits of the proposal
- ☐ Considering the possible deflection of key personnel from LBNL's primary research programs
- ☐ Determining requirements for and availability of space, support, and equipment
- ☐ Ensuring compliance with LBNL, DOE, and University policy
- ☐ Evaluating the proposal in light of the attached Conflict of Interest Requirements
- ☐ Assuring the safety of personnel and protection of the environment.

Submit CRADA package to SPO at least 30 working days before the expected start date.

**Signatures Required:**

Principal Investigator \_\_\_\_\_ Date \_\_\_\_\_

**OTHER PARTICIPATING DIVISIONS (if any):**

Co-Principal Investigator \_\_\_\_\_ Date \_\_\_\_\_

Division \_\_\_\_\_ Division \_\_\_\_\_ Date \_\_\_\_\_

Division Director \_\_\_\_\_ Date \_\_\_\_\_

Division \_\_\_\_\_ Division \_\_\_\_\_ Date \_\_\_\_\_

**ATTACHMENTS (including Appendices to JWS):**

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Joint Work Statement  | <input checked="" type="checkbox"/> Statement of Work - Appendix A to CRADA |
| <input checked="" type="checkbox"/> Budgets (by fiscal year, plus summary if required)                              | <input type="checkbox"/> Form 730U and Addendum, if applicable              |
| <input type="checkbox"/> CRADA Exception to Full Cost Recovery Form   | <input checked="" type="checkbox"/> LBNL Conflict of Interest Requirements  |
| <input checked="" type="checkbox"/> CRADA Conflict of Interest Certifications for the individuals identified below: |   |

Charles V. Shank

*Name*

*Name*

*Name*

Viviana Wolinsky

Laboratory Director

Principal Investigator

Co-Principal Investigator

Program Administrator

Tech Transfer Licensing Mgr

*Name*

David Aston

Jeff Weiner

*Name*

Division Director

Patent Department Head

SPO Manager

SPO Contracting Officer